



Attorney Docket No. TAR.06

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: LI ET AL.

SERIAL NO.: 09/976,254

FILED: OCTOBER 11, 2001

TITLE: TARGETED THERAPEUTIC AGENTS

EXAMINER:

ART UNIT:

CONFIRMATION NO.:

Assistant Commissioner for Patents
Washington, D.C. 20231
Box: Missing Part

COPY OF PAPERS
ORIGINALLY FILED

Dear Sir:

REPLY TO NOTICE TO FILE MISSING PARTS

1. The applicant hereby replies to the "Notice to File Missing Parts of Nonprovisional Application," mailed January 3, 2002. A copy of the Notice is enclosed. In reply to the Notice, the applicant submits:

- (a) the basic filing fee of \$740.00;
- (b) surcharge fee of \$130.00;
- (c) additional claims fee of \$216.00;
(Dependent claims $32 - 20 = 12 \times \$18.00 = \216.00)
- (c) assignment recordation fee of \$40.00;
- (d) a combined declaration and power of attorney signed by the inventors;
- (e) assignment and assignment recordation form cover sheet;
- (f) supplemental Application Data Sheet showing the corrected first name of inventor Trulson; showing the corrected address and citizenship for inventor Li; and showing the corrected zip code for inventor Pease; and
- (g) corrected filing receipt.

37 CFR 1.8

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on January 9, 2002

Signature: Tracy E. Crispino

Name: Tracy E. Crispino

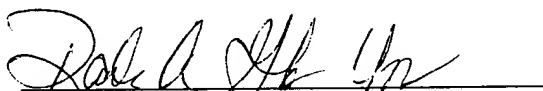
2. Enclosed is a check for \$1126.00. The check covers the filing fee (\$740.00), the surcharge (\$130.00), the additional claims fee (\$216.00), and the fee for recording the assignment (\$40.00). The foregoing fees are calculated as a small entity.

3. The executed declaration submitted herewith corrects the spelling of one of the named inventors: Julie Trulson as well as some corrections to the addresses for several other of the inventors. In accordance with 37 C.F.R. § 1.48(f)(1), no factual showing as to lack of deceptive intent is required.

The undersigned hereby authorizes the charge of any deficiency to deposit account no. 19-5117.

Respectfully submitted,

Date: January 9, 2002


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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/976,254	10/11/2001	1619	0.00	TAR.06	27	32	1

CONFIRMATION NO. 9603

25871
SWANSON & BRATSCHEUN L.L.C.
1745 SHEA CENTER DRIVE
SUITE 330
HIGHLANDS RANCH, CO 80129

FILING RECEIPT



OC000000007262063

Date Mailed: 01/03/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Bethesda, MD
King Chuen Li, ~~Stanford, CA~~
Mark David Bednarski, Los Altos, CA;
Charles A. Wartchow, San Francisco, CA;
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Julie ~~Judy~~ Trulson, San Jose, CA;
Zhi Min Shen, Palo Alto, CA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/239,684 10/11/2000

Foreign Applications

If Required, Foreign Filing License Granted 01/03/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Targeted therapeutic agents

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/976,254	10/11/2001	King Chuen Li	TAR.06

CONFIRMATION NO. 9603

25871
SWANSON & BRATSCHEUN L.L.C.
1745 SHEA CENTER DRIVE
SUITE 330
HIGHLANDS RANCH, CO 80129

FORMALITIES LETTER



OC00000007262064

Date Mailed: 01/03/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

02/06/2002 BABRAHA1 00000112 09976254

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 DP
02 FC:105	130.00 DP
03 FC:103	216.00 DP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$216.
 ■ **\$216 for 12 total claims over 20.**
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1086.**

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE